

# DECSO emission versions

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The DECSO algorithm (Daily Emission estimation Constrained by Satellite Observations) has been applied successfully for NO<sub>x</sub> emission estimation in East Asia, Middle East, South Africa, and India. As the algorithm is further improved, emission estimations are updated accordingly. Below you can find an overview of the different algorithm versions. An extensive algorithm description can be found in Mijling and Van der A (2012), and the GlobEmission ATBD.

## Version 1

- CHIMERE V2006
- No biogenic emissions
- INTEX-B emission inventory
- Landuse by GLCF database (1993)
- European diurnal cycle
- Boundary conditions LMDzINCA (gas), GOCART (aerosol)

Used in:

- Mijling and Van der A (2012)
- GlobEmission, phase 1 (East Asia)

## Version 2

- Modelling CHIMERE with sector-dependent emission injection heights
- Fast back-trajectory calculations
- Adjusted retrieval error tropospheric NO<sub>2</sub>
- Update of NO<sub>x</sub>-correlated pollutants
- Noise and bias reduction in remote areas
- Full Kalman matrix inversion using LDL decomposition
- Initial emission inventories:
  - South Africa: EDGAR v4.2, 2008
  - India: EDGAR v4.2, 2008

Used in:

- Mijling et al. (2013)
- GlobEmission, phase 1 (India, South Africa)

### Version v3a

- CHIMERE V2006.
- Initial emission inventories:
  - East Asia: MEIC 2008 (China) + INTEX-B (outside China)
  - South Africa: EDGAR v4.2, 2008
  - Middle East: EDGAR v4.2, 2008
- Diurnal cycle: flattened for China, European for other regions.
- Calculation speed: switching from g95 to ifort compiler, and calculating matrix inversions with LAPACK

Used in:

- GlobEmission, phase 2 (East Asia, Middle East, South Africa)

The emission estimates show unrealistic day-to-day (and possibly month-to-month) fluctuations of emissions. Emission noise in low-emitting areas (introduction of positive emission bias, and unrealistic seasonal cycle when assimilating OMI measurements).

### Version v3b

- CHIMERE V2013: new transport schemes, secondary organic aerosol chemistry, updated chemical reaction rates.
- New land use data: GlobCover Land cover (2009).
- Biogenic emissions by MEGAN
- Initial emission inventories:
  - East Asia: MEIC 2010 (China) + INTEX-B (outside China), regridded.
  - Middle East: HTAP v2 (EDGAR v4.3)
- Reduction of day-to-day emission fluctuations by OmF criterium [-5,10].
- Diurnal cycle: flattened for Middle East, European for East Asia.

Used in:

- Ding et al. (2015)
- GlobEmission, phase 2 (East Asia, Middle East)

#### Version 4

- Reduction of day-to-day emission fluctuations by 3-sigma (emission error) criterion
- New parametrization of R matrix
- Diurnal cycle: flattened
- OmF criterion has been removed

Used in:

- GlobEmission, phase 2 (East Asia)

The emission noise is greatly reduced. The regional emission totals go down, but this is mainly related to the emission noise reduction (reduction of positive bias). Individual hot-spots, especially when undersampled, can disappear (e.g. Ulaanbaatar, and some power plants in North-East China). A slower convergence rate at changing emission signals. But 10% more observations have been used in this version compared with DECSO v3b.

#### Version 5

- Switch off biogenic emissions from MEGAN in CHIMERE, estimate total surface emissions instead of anthropogenic emissions
- Change the threshold of the sensitivity matrix from 0.05 h to 0.1 h.

Used in:

- GlobEmission, phase 2 (East Asia)
- Ding et al. (2017a, 2017b)

The total emissions are more realistic and we reduce the uncertainties in biogenic emissions from CHIMERE. The change of H threshold helps to reduce biases coming from the uncertainties occurring at the edge of a NO<sub>2</sub> plume.

This version is extensively validated for East China in Ding et al. (2017b)

#### Version 5.1

- Set maritime inject height of newly-found maritime at 40 m.
- Exclude the observations with a large pixel size by filtering out 8 pixels at each side of the swath
- Exclude the observations with a cloud fraction larger than 50%

Used in:

- Ding et al. (2018)
- For QA4ECV data: Ding et al. (2022)

With the new settings, we get more clear shipping tracks near Chinese coast areas.

### Version 5.2-TROPOMI

- Results are generated on 0.125 degree resolution for a smaller domain.
- Timestep of the CHIMERE decreased to 7.5 min.
- The lifetime fit of NO<sub>x</sub> has been optimized for more precise local lifetimes.
- New parametrization of the R-matrix for TROPOMI
- The value of the minimum of H-elements has been set to 0.05 to avoid amplification of noise in the inverse calculation.
- The correlation length of Q is set to 1 km.
- Improved regularisation of the inverse calculation of (KSK+R).
- The NO<sub>2</sub> climatology for the free troposphere has been corrected.

Used in

- Van der A et al. (2020)

This version is developed for using TROPOMI NO<sub>2</sub> data. Since TROPOMI observations have a much higher resolution, we can also switch to higher resolution output.

### Version 5.2-TROPOMI-superobservations

- In this variant of version 5.2 (1) TROPOMI data have been regridded into super-observations before using as input and (2) Results are generated on 0.25 degree resolution.
- Because of the different resolution, different parametrizations are used for the R-matrix and Q\_matrix that describe the errors due to the model, measurements and representation.

Used in:

- Ding et al. (2020)

Since this version use super observations and generates output on the lower resolution of 0.25 degree it is much faster and can easily calculate emissions for large regions.

### Version 5.5-TROPOMI-superobservations

- In this version superobservations are generated for columns till 700 hPa to remove upper-tropospheric effects.
- No error correction for negative obs.
- Correlation length of emissions is 2 km (i.o. 10 km)
- Radius of taking correlation length into account is 50 km (i.o. 300 km)
- Shorter steps in lifetime fit to reach more often convergence (dk=0.2k instead of 0.5k.)
- Lifetime fit: if the last iteration is resulting in a worse cost function, then take the previous iteration.
- New landuse data base: Copernicus Landcover 2019.
- Use of PAL data for TROPOMI NO<sub>2</sub> data

Used in:

- Used as SEEDS deliverable for Europe

### Version 6.0-TROPOMI-superobservations

- superobservations till 700 hPa
- CHIMERE 2020r3
- timeRes=8

Used in:

- Used as SEEDS deliverable for High-Resolution output (Lowlands)

### Version 6.1-TROPOMI-superobservations (not released)

- Combined NH<sub>3</sub> and NO<sub>x</sub> inversion. (see Ding et al., 2024)
- maximum distance in H is 200 km.
- new start -field HTAP3-2018
- Minimum value of H\_min becomes 0.01 ( was 0.05 )
- 3-sigma limit turned off

Used in:

- Used in X. Zhang et al. (2023)

### Version 6.2-TROPOMI-superobservations

- Timeres=8 for 0.2x0.2 ° (default), timeres=12 for 0.1x0.15 ° (Spain) and timeres=30 for 0.05 x0.05 (lowlands)
- The range *Hdistance\_max* of the sensitivity function H is reduced to 150 km for 0.2x0.2° (default), and 50-100 km for lower resolutions (Spain, Lowlands)
- Number of iterations for the lifetime fit is limited to reduce the calculation time.
- R is based on the level2 error estimates (about.0.3),  $Q_{abs}=0.025$ ,  $Q_{rel}=0.02$
- The subgridding over a satellite pixel is based on 16x16 subgrids (instead of 32x8)
- The NO<sub>2</sub> observations are based on TROPOMI retrieval version 2.4. For the highest resolution of 0.05° no superobservations are used.
- In the calculated emissions negative emission are set to zero without compensation the neighbouring grid cells.
- Soil emission of NO<sub>x</sub> are provided based on a fitted seasonal parametrisation.
- TROPOMI version 2.4 has been used

Used in:

- Used as SEEDS deliverable for Europe and Lowlands(?)

### **Version 6.2HR-TROPOMI- normal observations**

Same as version 6.2 except:

- Applied to normal TROPOMI observations (i.e. no superobservations used)
- The resolution of the grid is 0.05x0.05 degree
- A reduced number of observations (less than 4000) is used per day
- More model steps in trajectories. N=30
- Because of calculation speed: no iterations for the lifetime fit

### **Version 6.3 and 6.3.1**

Inversion for NO<sub>x</sub> and NH<sub>3</sub> emissions:

- New boundary conditions in CHIMERE: LMDzINCA\_2010\_2017\_V2020
- New superobservations including border values (accidentally empty in previous versions)
- Version 6.3.1 includes a new split up in soil NO<sub>x</sub> emissions and anthropogenic NO<sub>x</sub> emissions (fitted per month and land-use type instead of a seasonal parametrization)

Version 6.3.1 is published in van der A et al., 2024, Ding et al., 2024, and Lin et al., 2024.

### **Version 6.4 and 6.4.1**

- New vertical emission profiles (based on Bieser et al., 2013)
- Corrected upper-tropospheric/stratospheric correction of the TROPOMI NO<sub>2</sub> observations (via CTM-ANA priori files )
- 6.4.1 includes the soil-anthropogenic split-up

### **Version 6.4.2 and 6.4.3 (only for the Netherlands)**

- Basic correction for the level2 NO<sub>2</sub> observations in wintertime for winds from Northerly to Westerly directions
- For the HR-version (0.05 degree) a fixed lifetime (3 hours) is used in the sensitivity calculation.
- 6.4.3 includes the soil-anthropogenic split-up

This version is only applied for smaller regions in North-West Europe (e.g. the Netherlands).

## References

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## SOFTWARE and KEY DATA of Version 6.1

| Software/data     | Version    | Ref |
|-------------------|------------|-----|
| Inverse algorithm | DECSO v6.4 |     |

|                          |                         |  |
|--------------------------|-------------------------|--|
| Chemical Transport Model | CHIMERE v2020r3         |  |
| NO2 observations         | TROPOMI v.2.4           |  |
| Meteorological data      | ECMWF operational model |  |
| Land-use database        | Copernicus LandCover    |  |
| Start field emissions    | HTAP v3                 |  |

## PARAMETERS in Version 6.2

| Parameter  | Value  |
|--|--|
| Time resolution transport kernel                         | 7.5 minutes or less                            |
| Initial lifetime   | 6 hours  |
| Initial maximum lifetime                                 | 6 hours  |
| Maximum lifetime during iterations                       | 100 hours                                      |
| Minimum Lifetime   | 0.5 hour                                       |
| Maximum Lifetime   | 16 hours                                       |
| Smallest allowed value for matrix H elements             | 0.01   |
| Alpha (Regularisation factor for lifetime fit)           | 0.01   |
| Emission correlation length                              | 0.5 km   |
| Q <sub>abs</sub> Absolute error of emissions             | Depends on resolution                          |
| Q <sub>rel</sub> Relative error of emissions             | Depends on resolution                          |
| OmF correlation length (default)                         | 10 km  |
| Pa <sub>radius</sub> (Max. distance for cov. analysis)   | 50 km  |
| absMsigma (absolute error of $\sigma_R$ )                | 0.1  |
| relMsigma (relative error of $\sigma_R$ )                | 0.05   |
| Tikhonov <sub>threshold</sub>                            | 0.01   |
| Error of start-field Pa of emissions on day 1            | 0.3 [1e15 molec/cm2/h]                         |
| Maximum distance for emission-concentration relationship | 150 km or less for higher resolution emissions |

| Version      | Year of release | Study using this data version                                      |
|--------------|-----------------|--|
| 1            | 2012            | Mijling and Van der A (2012)                                       |
| 2            | 2013            | Mijling et al. (2013)  |
| 3a           | 2014            | GlobEmission, phase 2 (East Asia, Middle East, South Africa)       |
| 3b           | 2015            | Ding et al. (2015), GlobEmission, phase 2 (East Asia, Middle East) |
| 4            | 2016            | GlobEmission, phase 2 (East Asia)                                  |
| 5.0          | 2017            | Ding et al. (2017a, 2017b)   |
| 5.1          | 2018            | Ding et al. (2018)   |
| 5.2          | 2019            | Van der A et al. (2020)  |
| 5.2-superobs | 2020            | Ding et al. (2020)   |
| 5.6          | 2022            | SEEDS, first phase, 0.2x0.2 degree                                 |
| 6.0          | 2022            | SEEDS, first phase, 0.05x0.05                                      |
| 6.1          | 2022            | Zhang et al. (2023)  |
| 6.2          | 2023            | SEEDS, second phase  |
| 6.3          | 2024            | van der A et al., 2024, Ding et al., 2024, and Lin et al., 2024.   |
| 6.4          | 2024            | CAMEO project  |
|              |                 |  |